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|---|----------------------|---------------------|--------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 09/847,526          |              |
|   | Filing Date          | May 1, 2001         |              |
|   | First Named Inventor | Daniel SANTI        |              |
|   | Group Art Unit       | 1646                |              |
|   | Examiner Name        | To Be Assigned      |              |
| Total Number Of Pages In This Submission  | 8                    | Attorney Docket No. | 300622005400 |

| ENCLOSURES (check all that apply)   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form   | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                                 |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences             |
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| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declarations  | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request  | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return postcard |
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| <input checked="" type="checkbox"/> Information Disclosure Statement; PTO form 1449; copy of International Search Report; and 12 references | <input type="checkbox"/> Request for Refund   |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)   | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  | Remarks   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53  |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT |  |
|---|--|
| Firm or Individual Name                   | Brenda J. Wallach<br>Registration No. 45,193 |
| Signature                                 | <i>Brenda J. Wallach</i>                     |
| Date                                      | April 1, 2002                                |

| CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"  |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 1, 2002. |
| <i>Garee A. Haney</i><br>Garee A. Haney   |

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#4

PATENT  
Docket No. 300622005400

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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*Garee A. Haney*  
Garee A. Haney

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Daniel SANTI et al.

Serial No.: 09/847,526

Filing Date: May 1, 2001

For: OVERPRODUCTION HOSTS FOR  
BIOSYNTHESIS OF POLYKETIDES

Examiner: To Be Assigned

Group Art Unit: 1646

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This application claims the benefit of the filing date of United States Provisional Application Serial Number 60/201,287, filed May 2, 2000.

The documents Nos. 1, 3 and 9-12, listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \* is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \* is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 300622005400. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 1, 2002

Respectfully submitted,

By: Brenda J. Wallach  
Brenda J. Wallach  
Registration No. 45,193

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